

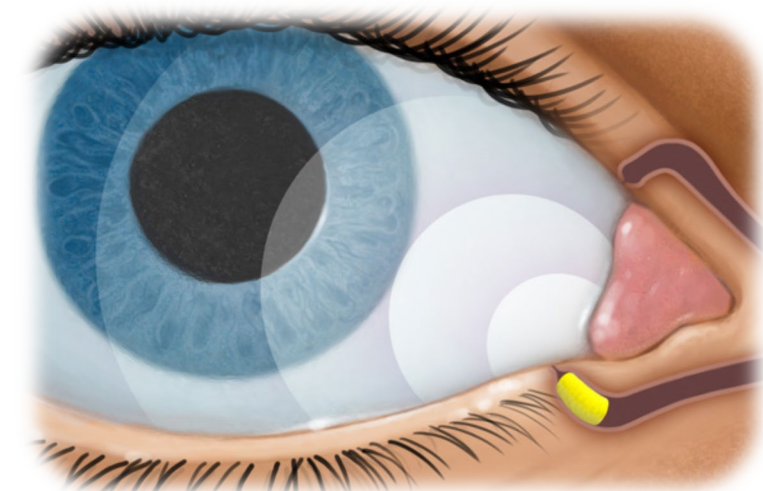
# Efficacy and Safety of OTX-DED Dexamethasone Intracanalicular Insert in Subjects with Dry Eye Disease: A Multicenter, Randomized, Vehicle-Controlled Phase 2 Study

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## BACKGROUND

- Many patients with dry eye disease (DED) suffer from episodic flare-ups that require an effective short-term treatment<sup>1-4</sup>
- Approved therapies for the chronic treatment of DED are known for slow onset of action and burning/stinging upon instillation<sup>5-8</sup>
- All currently approved topical steroid eye drops in the US have preservatives that may exacerbate ocular surface diseases<sup>5-10</sup>
- OTX-DED is a physician-administered, biodegradable, preservative-free, hydrogel-based insert that is placed into the canaliculus and releases dexamethasone to the ocular surface for 2-3 weeks (presented in **Figure 1**)



**Figure 1. Rendering of OTX-DED inserted into the canaliculus**

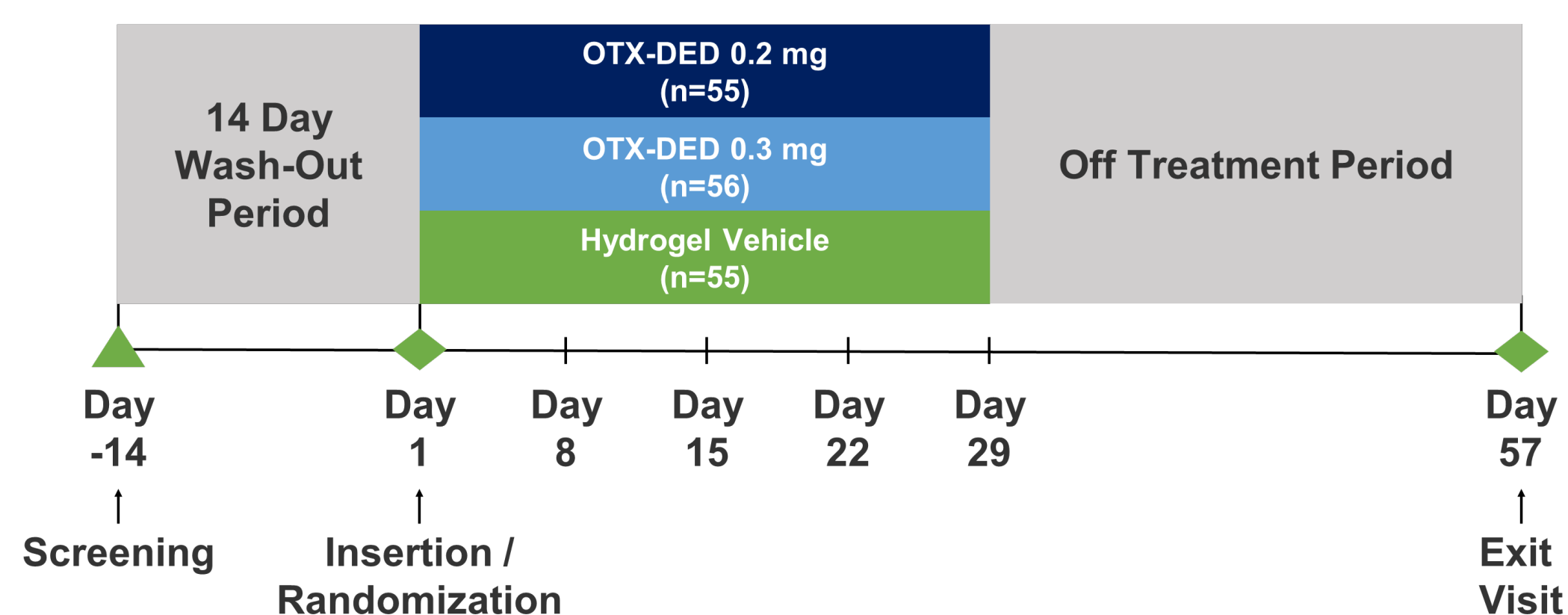
## STUDY OBJECTIVE

To evaluate the efficacy and safety of OTX-DED for the short-term treatment of signs and symptoms of dry eye disease

## METHODS

- Prospective, randomized, double-masked, vehicle-controlled, Phase 2 clinical trial (NCT04747977; presented in **Figure 1**)

**Figure 1. Study Design of OTX-DED Phase 2 Clinical Trial**



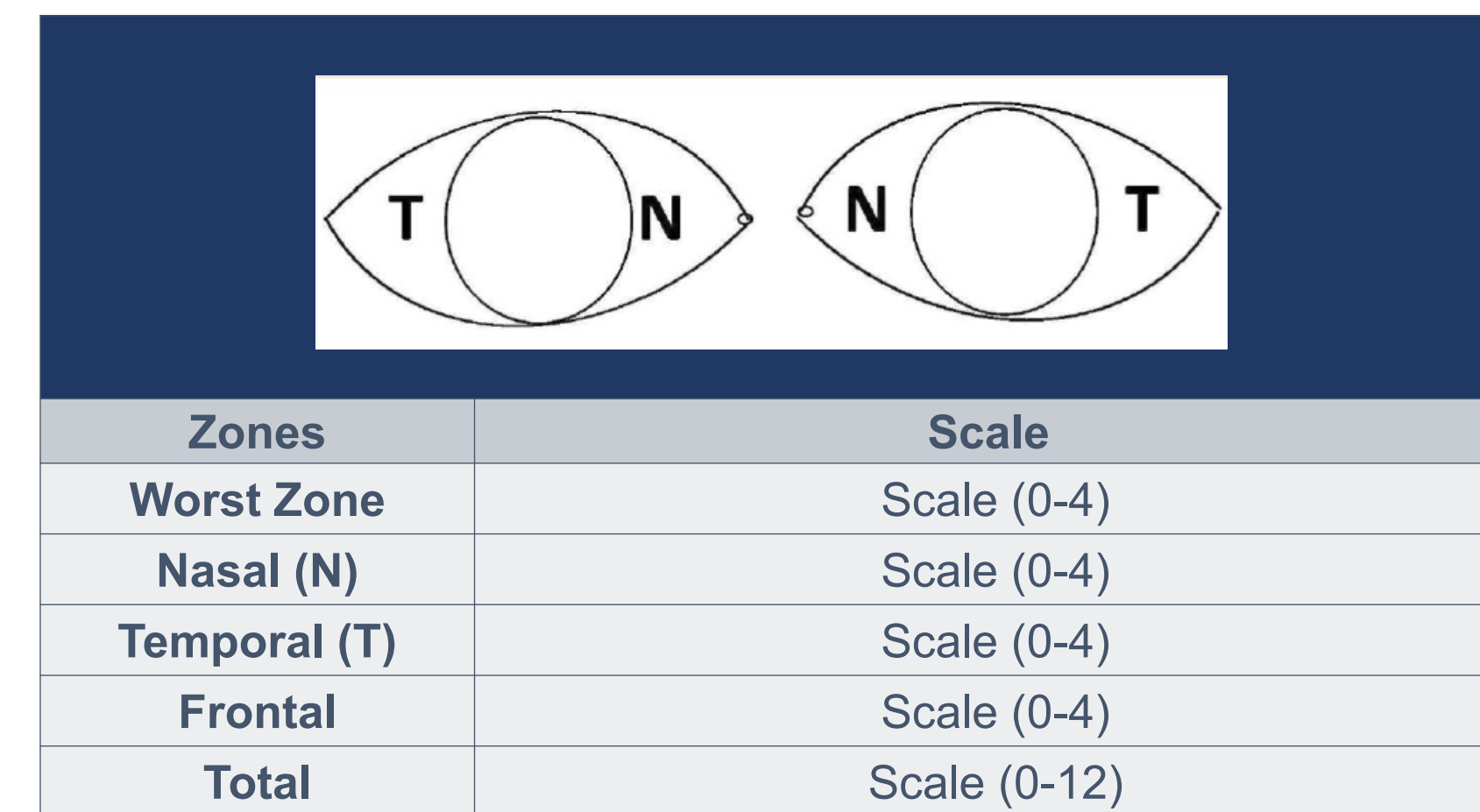
- Key Inclusion Criteria:
  - DED diagnosis in both eyes for ≥6 months
  - Eye dryness severity score (VAS) ≥30
  - Bulbar conjunctival hyperemia grade ≥ 2 (CCLRU scale)

## METHODS (Con't)

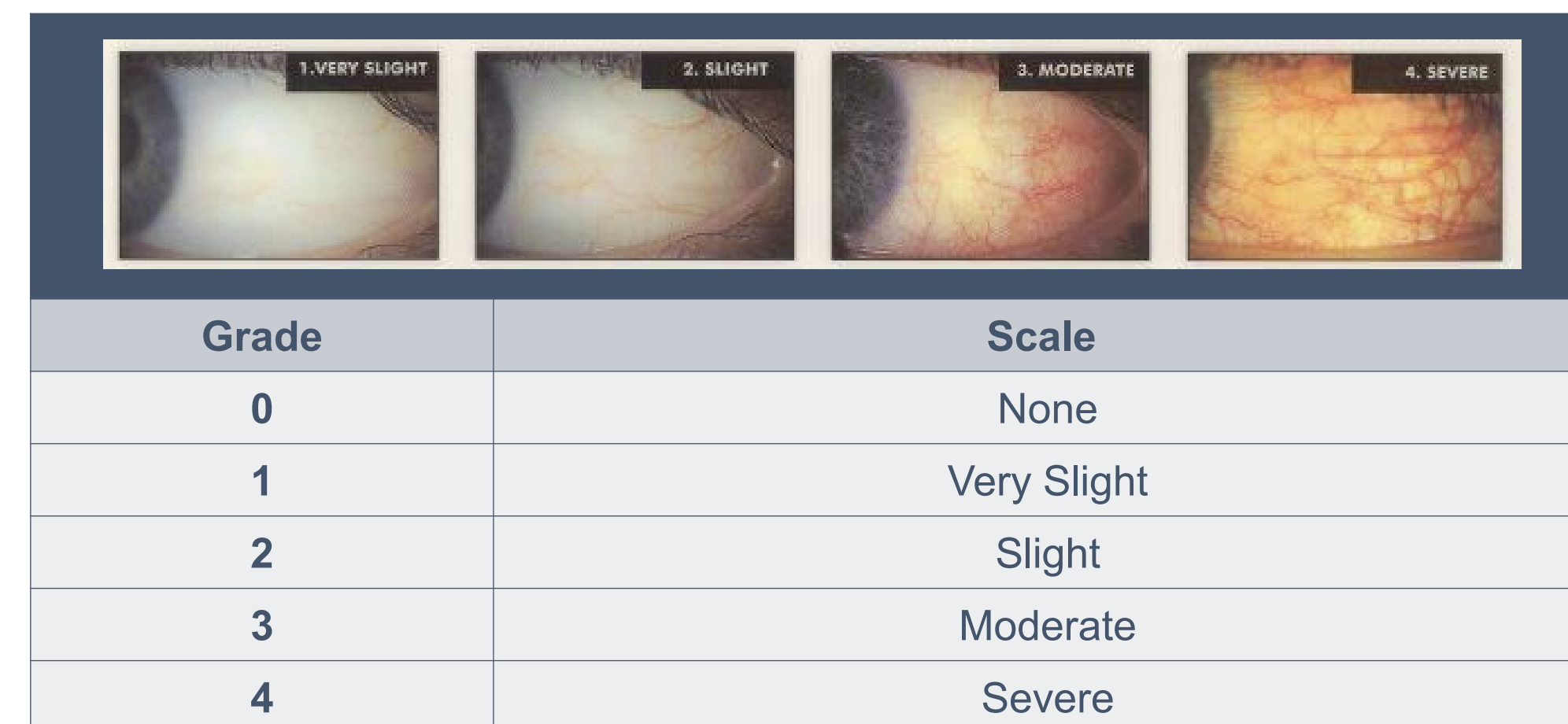
### PRIMARY ENDPOINT

- Change from baseline in bulbar conjunctival hyperemia in the worst zone on Day 15 (presented in **Figure 2**)
  - Assessed photographically by a central reading center using the CCLRU grading scale (presented in **Figure 3**)

**Figure 2. Grading Zones for Bulbar Conjunctival Hyperemia**



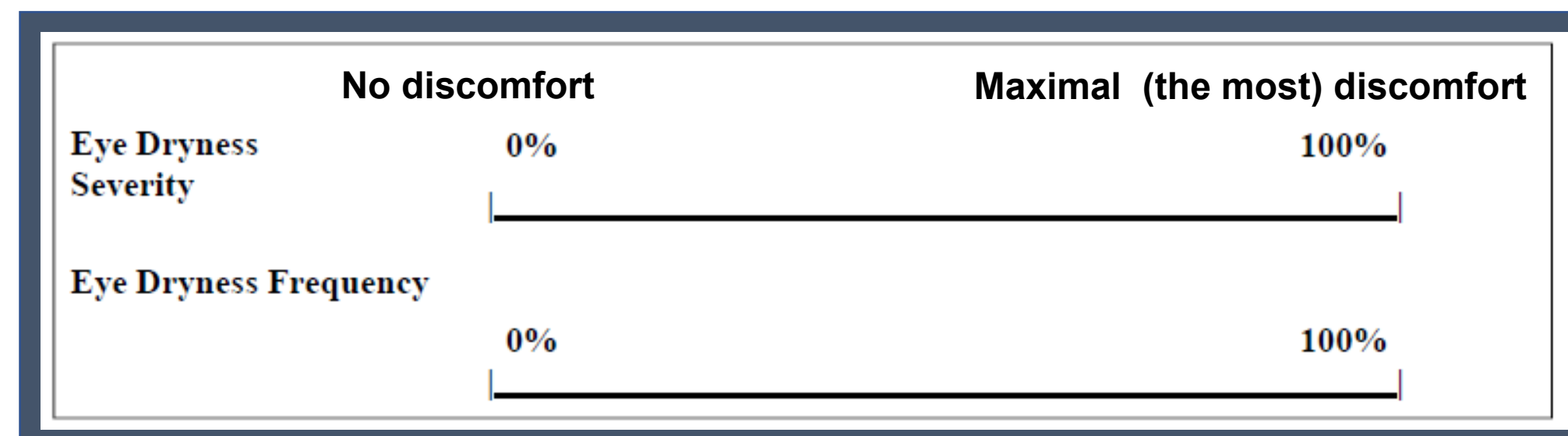
**Figure 3. CCLRU Bulbar Hyperemia Grading Scale**



### SECONDARY ENDPOINTS

- Change from baseline in bulbar conjunctival hyperemia in individual zones and total
- Change from baseline and absolute values of Eye Dryness Score using a visual analog scale (VAS) presented in **Figure 4**

**Figure 4. Visual Analogue Scale for Eye Dryness**



## RESULTS

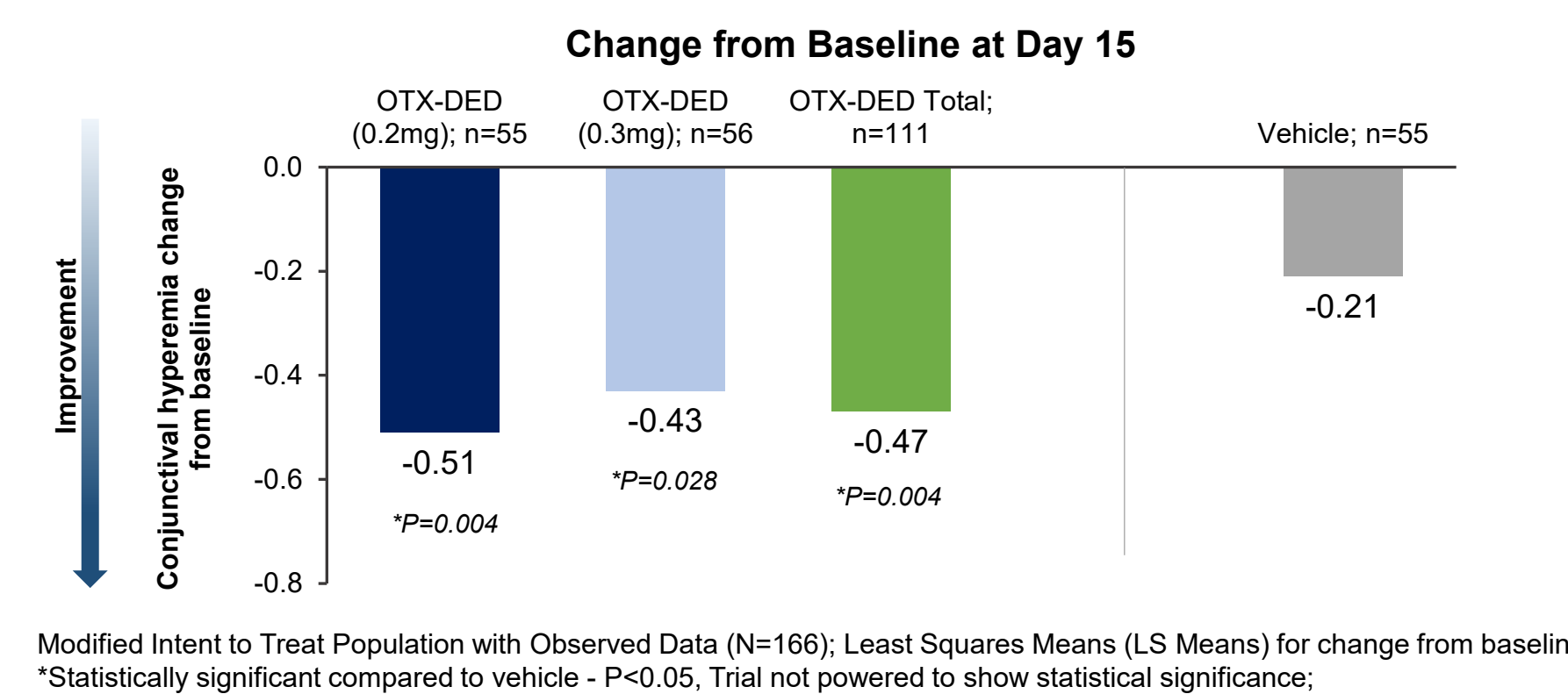
**Table 1. Demographics and Baseline Characteristics of Enrolled Subjects**

|  | OTX-DED (0.2 mg) n=55 | OTX-DED (0.3 mg) n=56 | OTX-DED Total n=111 | Vehicle Hydrogel n=55 | All Subjects n=166 |
|--|-----------------------|-----------------------|---------------------|-----------------------|--------------------|
| Modified Intent to Treat (mITT)                          | 55                    | 56                    | 111                 | 55                    | 166                |
| Age, mean  | 63.7                  | 65.4                  | 64.6                | 63.8                  | 64.3               |
| Female, %  | 74.5                  | 69.6                  | 72.1                | 74.5                  | 72.9               |
| Race, %  |                       |                       |                     |                       |                    |
| Caucasian  | 70.9                  | 67.9                  | 69.4                | 74.5                  | 71.1               |
| African American   | 20.0                  | 25.0                  | 22.5                | 14.5                  | 19.9               |
| Asian  | 9.1                   | 7.1                   | 8.1                 | 10.9                  | 9.0                |
| Baseline Conjunctival Hyperemia, mean                    |                       |                       |                     |                       |                    |
| Worst Zone (Scale 0-4)                                   | 1.95                  | 1.98                  | 1.96                | 2.02                  | 1.98               |
| Nasal (Scale 0-4)  | 1.80                  | 1.88                  | 1.84                | 1.93                  | 1.87               |
| Temporal (Scale 0-4)                                     | 1.67                  | 1.84                  | 1.76                | 1.89                  | 1.8                |
| Frontal (Scale 0-4)                                      | 1.58                  | 1.79                  | 1.68                | 1.76                  | 1.71               |
| Total (Scale 0-12)                                       | 5.05                  | 5.50                  | 5.28                | 5.58                  | 5.38               |
| Baseline Eye Dryness Severity Score, mean (0-100 scale)  | 72.8                  | 70.0                  | 71.4                | 72.4                  | 71.7               |
| Baseline Eye Dryness Frequency Score, mean (0-100 scale) | 73.3                  | 74.5                  | 73.9                | 74.5                  | 74.1               |

### BULBAR CONJUNCTIVAL HYPEREMIA

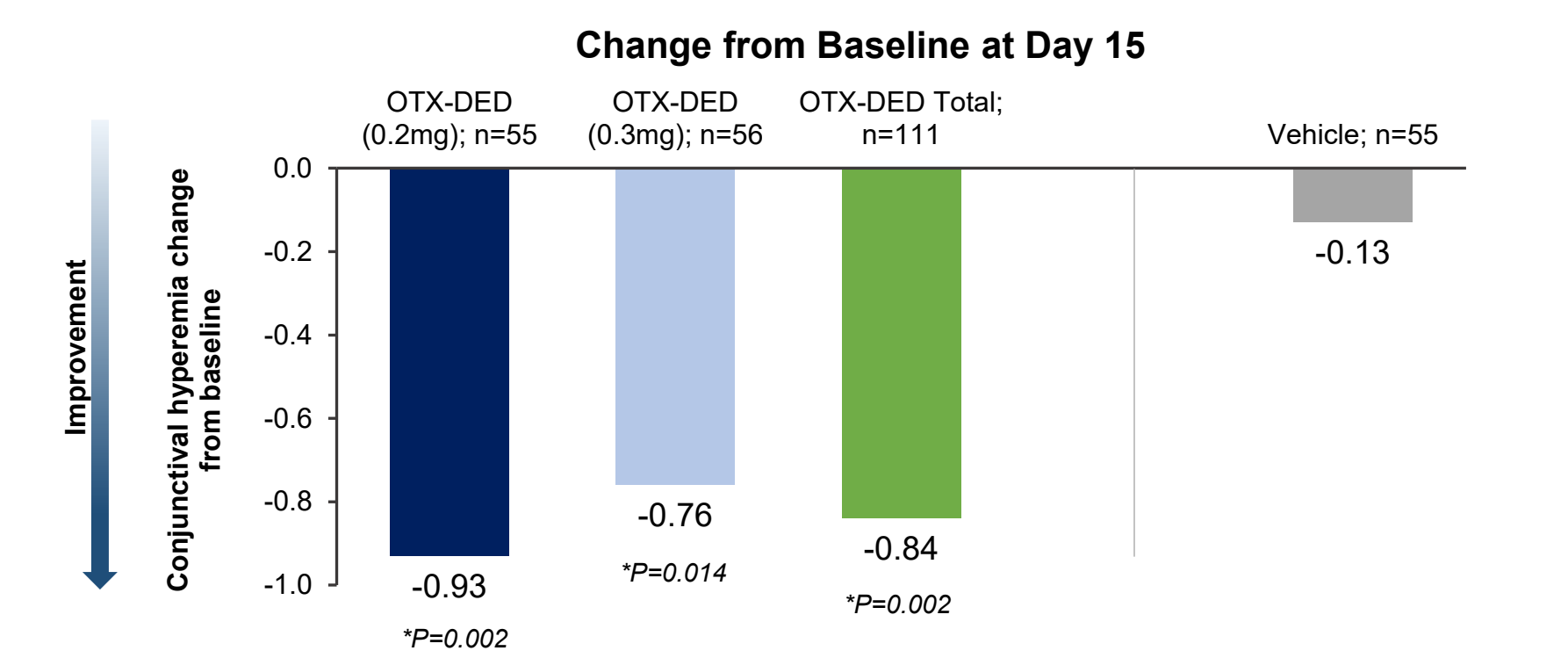
- Subjects that received OTX-DED 0.2 or 0.3 mg demonstrated a statistically significant improvement in conjunctival hyperemia at Day 15 (primary endpoint) compared to subjects that received hydrogel vehicle inserts (presented in **Figure 5**)

**Figure 5. Primary Endpoint: Conjunctival Hyperemia in the Worst Zone at Day 15**

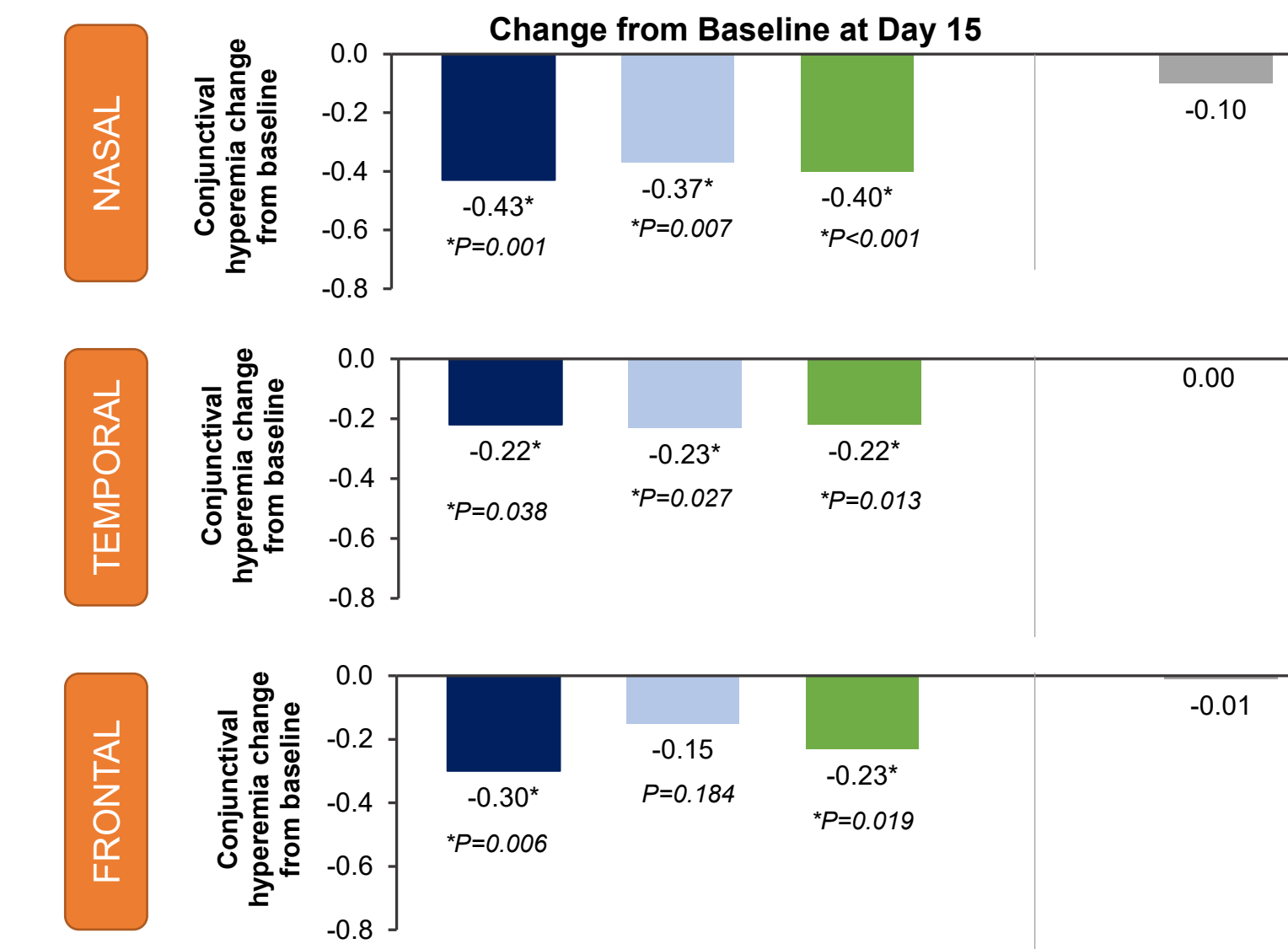


- Improvements in bulbar conjunctival hyperemia scores on Day 15 in individual and total zones in the OTX-DED 0.2 and 0.3 mg groups were all statistically significant when compared to the hydrogel vehicle group except for OTX-DED 0.3 mg frontal zone (**Figure 6** and **7**)

**Figure 6. Secondary Endpoint: Total Conjunctival Hyperemia Grade at Day 15**



**Figure 7. Secondary Endpoint: Conjunctival Hyperemia in Individual Zones at Day 15**

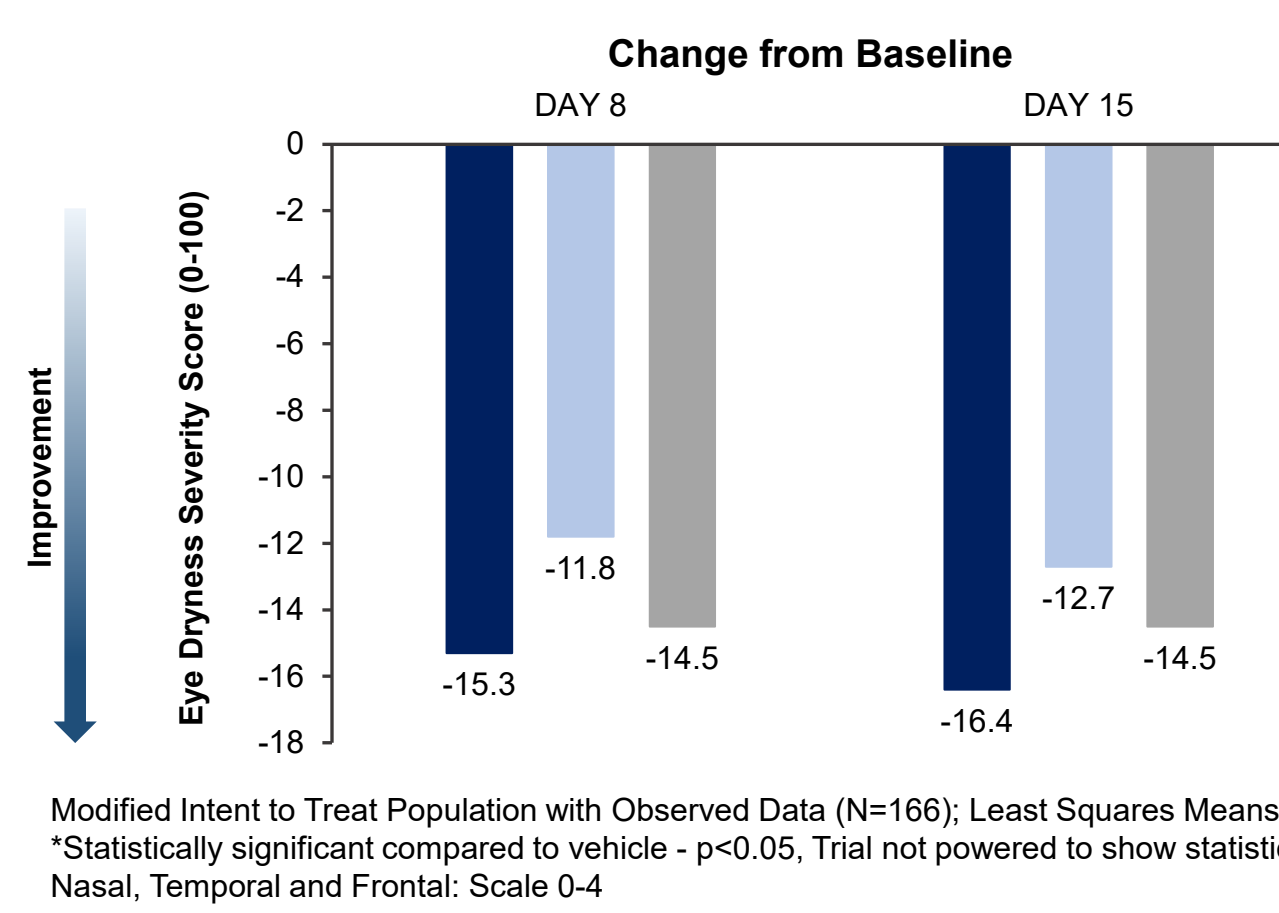


Modified Intent to Treat Population with Observed Data (N=166); Least Squares Means (LS Means) for change from baseline. \*Statistically significant compared to vehicle - p<0.05, Trial not powered to show statistical significance; Nasal, Temporal and Frontal: Scale 0-4

### EYE DRYNESS SEVERITY SCORE

- Eye Dryness Severity scores improved from baseline in the OTX-DED 0.2 and 0.3 mg groups with little separation of effect between the active groups and hydrogel vehicle (presented in **Figure 8**)

**Figure 8. Change from Baseline in Eye Dryness Severity Score (VAS)**

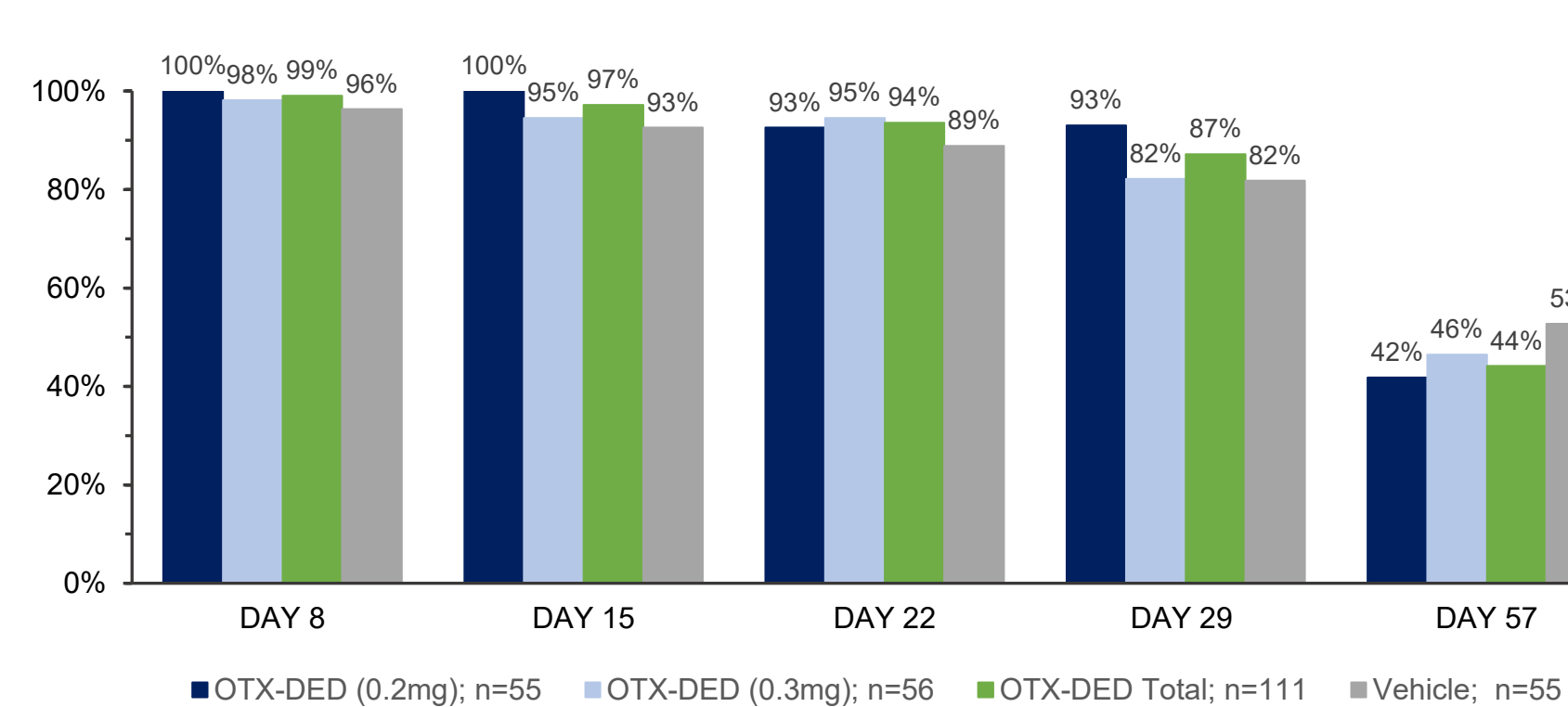


Modified Intent to Treat Population with Observed Data (N=166); Least Squares Means (LS Means) for change from baseline. \*Statistically significant compared to vehicle - p<0.05, Trial not powered to show statistical significance; Nasal, Temporal and Frontal: Scale 0-4

### RETENTION OF INSERTS

- Retention assessed by visualization of the insert was high throughout the 30-day study period (presented in **Figure 9**)

**Figure 9. Retention Rates**



## SAFETY OUTCOMES

**Table 2. Number of Subjects with Adverse Events**

|   | OTX-DED (0.2 mg) n=55 | OTX-DED (0.3 mg) n=56 | OTX-DED Total n=111 | Vehicle Hydrogel n=55 | All Subject N=166 |
|---|-----------------------|-----------------------|---------------------|-----------------------|-------------------|
| Subjects with at least 1 TEAE, n (%)            | 12 (21.8%)            | 13 (23.2%)            | 25 (22.5%)          | 11 (20.0%)            | 36 (21.7%)        |
| Subjects with at least 1 Ocular TEAE, n (%)     | 7 (12.7%)             | 12 (21.4%)            | 19 (17.1%)          | 7 (12.7%)             | 26 (15.7%)        |
| Subjects with at least 1 non-ocular TEAE, n (%) | 5 (9.1%)              | 2 (3.6%)              | 7 (6.3%)            | 4 (7.3%)              | 11 (6.6%)         |
| Serious Adverse Events (SAEs), n                | 0                     | 0                     | 0                   | 2                     | 2†                |
| Ocular SAEs, n                                  | 0                     | 0                     | 0                   | 0                     | 0                 |

Modified Intent to Treat Population with Observed Data (N=166). †Serious Adverse Events were Cellulitis and COVID Pneumonia both in the vehicle group. ‡Serious Adverse Events were Epiphora in 0.2 mg OTX-DED group & Cellulitis and COVID Pneumonia in the vehicle group.

- The most common ocular adverse events in the OTX-DED treated groups were epiphora (lacrimation increase; 8.1%) and IOP elevation (3.6%) as presented in **Table 3**
- There were no ocular SAEs or dacryocanalculitis events reported

**Table 3. Most Common Ocular and Non-ocular AEs**

|                             | OTX-DED (0.2 mg) n=55 | OTX-DED (0.3 mg) n=56 | OTX-DED Total n=111 | Vehicle Hydrogel n=55 | All Subjects N=166 |
|-----------------------------|-----------------------|-----------------------|---------------------|-----------------------|--------------------|
| Most Common Ocular AEs      |                       |                       |                     |                       |                    |
| Eye Pruritus, n (%)         | 1 (1.8%)              | 0                     | 1 (0.9%)            | 2 (3.6%)              | 3 (1.8%)           |
| Lacrimation Increase, n (%) | 2 (3.6%)              | 7 (12.5%)             | 9 (8.1%)            | 2 (3.6%)              | 11 (6.6%)          |
| IOP Elevation, n (%)        | 2 (3.6%)              | 2 (3.6%)              | 4 (3.6%)            | 0                     | 4 (2.4%)           |
| Most Common Non-ocular AEs  |                       |                       |                     |                       |                    |
| COVID-19, n (%)             | 1 (1.8%)              | 0                     | 1 (0.9%)            | 0                     | 1 (0.6%)           |
| Arthralgia, n (%)           | 1 (1.8%)              | 1 (1.8%)              | 2 (1.8%)            | 0                     | 2 (1.2%)           |

## CONCLUSIONS

- Subjects treated with OTX-DED 0.2 and 0.3 mg demonstrated a statistically significant improvement in the primary endpoint (bulbar conjunctival hyperemia in the worst zone) compared to hydrogel vehicle
- Symptoms (eye dryness score) improved from baseline in all three groups, with little separation between active groups and vehicle
- No ocular SAEs were reported with OTX-DED and the most common AE was epiphora (8.1%) and elevated IOP (3.6%)
- OTX-DED is a potential candidate for the short-term treatment of signs and symptoms of dry eye disease

**Disclosures:** LMN, JT, and DGE were investigators in this clinical trial. BG, RGO, and MHG are employees of Ocular Therapeutix, Inc.

**Funding:** This study was sponsored by Ocular Therapeutix, Inc.

**Abbreviations:** CCLRU, Cornea and Contact Lens Research Unit; DED, dry eye disease; IOP, intraocular pressure; SAE, serious adverse event; TEAE, treatment-emergent adverse event; VAS, visual analog scale

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